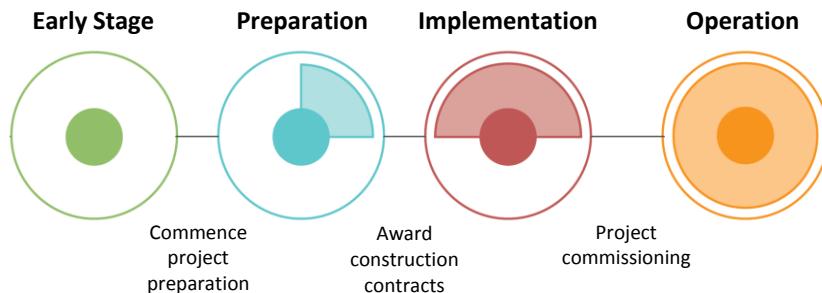


The Protocol covers all stages of a hydropower project, with four distinct tools. Each stage consists of around 20 topics. Each topic is judged on up to six criteria. Each criteria has basic requirements and best practice requirements. The result for each topic is shown on a spider diagram.

## 1. Stages

The Protocol covers all stages of a hydropower project, broken into four distinct tools.



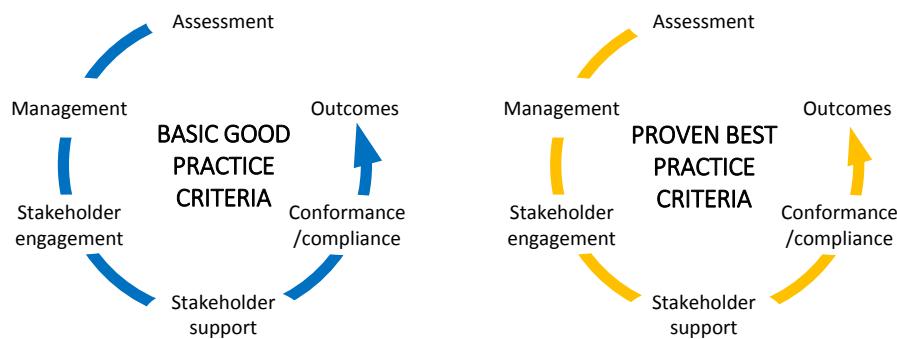
## 2. Topics

Each stage is broken down into around 20 topics

Communications and Consultation
Governance
Demonstrated Need and Strategic Fit
Siting and Design
Environmental and Social Impact Assessment and Management
Integrated Project Management
Hydrological Resource
Asset reliability and efficiency
Infrastructure Safety
Financial Viability
Project Benefits
Economic Viability
Procurement
Project Affected Communities and Livelihoods
Resettlement
Indigenous Peoples
Labour and Working Conditions
Cultural Heritage
Public Health
Biodiversity and Invasive Species
Erosion and Sedimentation
Water Quality
Waste, noise and air quality
Reservoir Planning and Management
Downstream Flow Regimes

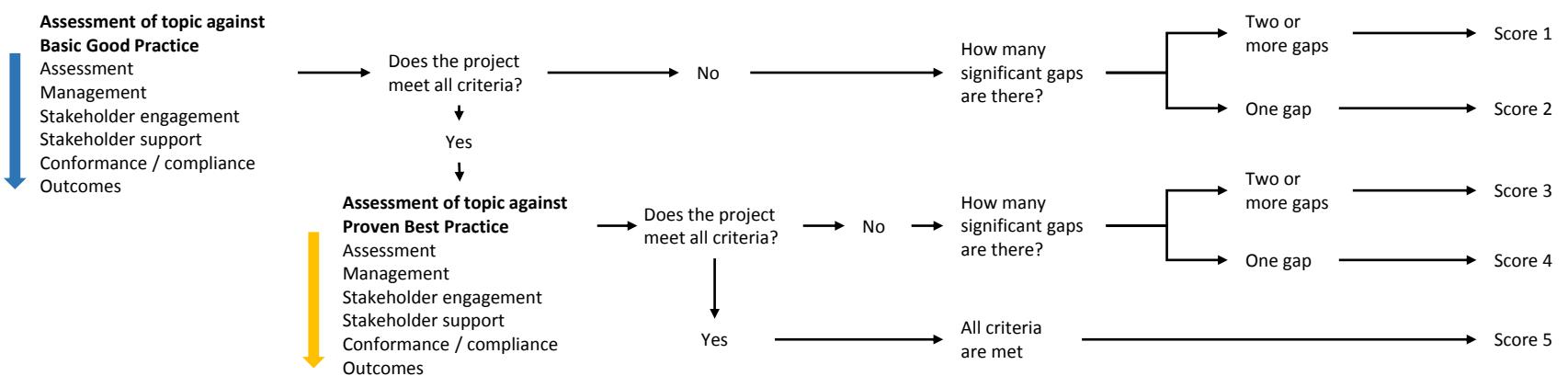
## 3. Criteria

Each topic is judged on up to six criteria, each criteria has basic and best practice requirements.



## 4. Scoring

When the assessor has assessed whether each criteria is met, they can assign a score according to the flow diagram:

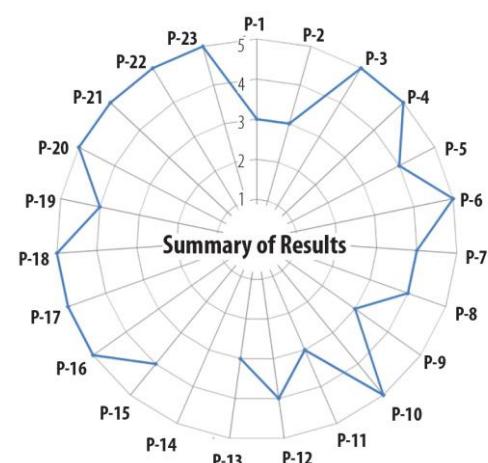


## 5. Results

The result for each topic is shown on the spider diagram

- P-1 Communications and Consultation
- P-2 Governance
- P-3 Demonstrated Need and Strategic Fit
- P-4 Siting and Design
- P-5 Environmental and Social Impact Assessment and Management
- P-6 Integrated Project Management
- P-7 Hydrological Resource
- P-8 Infrastructure Safety
- P-9 Financial Viability
- P-10 Project Benefits
- P-11 Economic Viability
- P-12 Procurement

- P-13 Project-Affected Communities and Livelihoods
- P-14 Resettlement (Not relevant)
- P-15 Indigenous Peoples
- P-16 Labour and Working Conditions
- P-17 Cultural Heritage
- P-18 Public Health
- P-19 Biodiversity and Invasive Species
- P-20 Erosion and Sedimentation
- P-21 Water Quality
- P-22 Reservoir Planning
- P-23 Downstream Flow Regimes



### Overview of Protocol criteria requirements at the basic and best practice levels

Criteria	Basic Good Practice	Proven Best Practice
<b>Assessment</b>	<p>Adequate and effective assessment of the issues, with no significant gaps.</p> <p>Predominantly project-focused, with stronger emphasis on impacts and risks than on opportunities.</p>	<p>Adequate and effective assessment of the issues, with no significant opportunities for improvement.</p> <p>Takes a broad view of the issues eg. regional, examines inter-relationships between issues, emphasizes opportunities to address issues not caused by the project.</p>
<b>Management</b>	<p>Management plans and processes based on the identified issues have been developed or put in place, with no significant gaps.</p>	<p>No significant opportunities for improvement.</p> <p>Management plans and processes show anticipation of, and response to, emerging issues and opportunities, and commitments are public, formal and legally enforceable.</p>
<b>Stakeholder Engagement</b>	<p>Adequate and effective stakeholder engagement, with no significant gaps.</p> <p>Involving identification of directly-affected stakeholders, appropriate methods, timing, frequency and location of engagement, grievance mechanisms.</p>	<p>Adequate and effective stakeholder engagement, with no significant opportunities for improvement.</p> <p>Engagement is inclusive and participatory with directly-affected stakeholders, feedback is provided on how the issues raised have been considered and addressed, stakeholders are involved in decision-making, there is public disclosure.</p>
<b>Stakeholder Support</b>	<p>General support amongst directly-affected stakeholder groups, or no significant ongoing opposition by these stakeholders.</p>	<p>Support of nearly all directly-affected stakeholder groups for the assessment, planning or implementation measures, or no opposition by these stakeholders. In cases, formal agreements with consent of directly-affected stakeholder groups.</p>
<b>Conformance and Compliance</b>	<p>No significant non-compliances and non-conformances.</p>	<p>No non-compliances and non-conformances.</p>
<b>Outcomes</b>	<p>Impacts are avoided, minimised, and mitigated, with no significant gaps.</p>	<p>Impacts are also compensated, and the project enhances pre-project conditions or addresses issues beyond the impacts caused by the project.</p>

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