

This paper lists those actions that were not listed as complete in the Forum Meeting 9 Paper 2d on Status of Actions, plus the status of actions arising from Forum Meeting 9 and from Webinar 5 (held post- Forum Meeting 9). These are listed in an order starting with the most recent Forum engagement. All actions formally reported to the Forum as completed in previous Status of Actions reports are not shown here.

As a summary for consideration at Forum Webinar 6, actions from this status report that remain incomplete as of Forum Webinar 6, and where they are discussed in this Forum agenda, are:

**Webinar 5 Action 5:** *Forum members to advise Michael Fink and Desmond Manful at IHA as soon as possible about whether they will be attending the HydroVision conference*

- Assumed completed. Any discussion of this action at Forum Webinar 6 provided for under Agenda Item 9 “Next Steps”.

**Mtg 9 Action 31:** *The Forum Coordinator to liaise with the IHA Central Office staff on how Mtg9 Agreement 41 can be best progressed. (Forum Coordinator Note: This action contains a typo, and should have read Mtg9 Agreement 46. Mtg9 Agreement 46 was “It is agreed that an HSAP Knowledge Base will be created to house the information on Protocol topics and high profile/cross-cutting issues that has been brought forward during the Forum process”)*

- In train. To be discussed in Forum Webinar 6 under Agenda Item 8 “Forum Knowledge Base”.

**Mtg 9 Action 33:** *Forum members to indicate to Richard Taylor their interest in being involved in a follow up phase, and to provide any comments on Mtg9 Paper 14 by no later than the 4th of June 2010.*

- To be discussed in Forum Webinar 6 under Agenda Item 9 “Next Steps”.

**Webinar 3 Action 2:** *The Forum Coordinator to draft responses to the issues raised in the HSAF Phase 2 Consultation Outcomes Report, and circulate for Forum member review.*

- In train. Several progressive drafts of Forum responses to Consultation Phase 2 issues have been circulated to Forum members, but need to be concluded upon finalisation of Protocol content. Will clarify process and timing for this at Forum Webinar 6, under Agenda Item 11 “Consolidation of Further Actions”.

**Mtg 8 Action 16:** *The Forum Coordinator to ensure the opportunity in the forward work plan for Forum members to discuss the remaining and unresolved issues raised in the consultation and trialling, and agreement on Forum responses.*

- In train. Same action as Webinar 3 Action 2; see response above.

**Mtg 8 Action 18:** *The Forum Coordinator to ensure collection of estimates of in-kind contributions to consultation and trialling.*

- In train. Report on status of this action to be provided by IHA at Forum Webinar 6, under Agenda Item 11 “Consolidation of Further Actions”.

**Mtg 8 Action 21:** *The Forum Coordinator to ensure the opportunity in the forward work plan for Forum members to discuss follow up to Forum Meeting 9.*

- To be discussed in Forum Webinar 6 under Agenda Item 9 “Next Steps”.

FORUM WEBINAR 5 (8 June 2010) ACTIONS	STATUS as of Webinar 6
<p><b>Webinar 5 Action 1:</b> <i>The Forum Coordinator will provide a proposal for an alternative method of expression of divergent views that takes into account all of the issues raised.</i></p>	<p>Completed. An alternative proposal has been provided within the proposal submitted by the IHA Reference Group for edits on the HSAP Draft2 Final.</p>
<p><b>Webinar 5 Action 2:</b> <i>The Forum Coordinator will review the wording in the Draft2 Final HSAP Introduction with respect to adoption or endorsement of the HSAP Knowledge Base, and make a proposal for edits if required for greater clarification.</i></p>	<p>Completed. An alternative proposal has been provided within the proposal submitted by the IHA Reference Group for edits on the HSAP Draft2 Final.</p>
<p><b>Webinar 5 Action 3:</b> <i>The Forum Coordinator will develop a proposal for inclusion of transboundary issues as a cross-cutting issue in the Protocol. Forum members interested in being involved in development of this proposal should get in touch with Helen Locher as soon as possible.</i></p>	<p>Completed. An alternative proposal has been provided within the proposal submitted by the IHA Reference Group for edits on the HSAP Draft2 Final. Donal O’Leary was in touch with the Forum Coordinator to provide his views on how this should be best handled.</p>
<p><b>Webinar 5 Action 4:</b> <i>The Forum Coordinator will send around a note to test availability for Webinar 6 for the weeks of 5<sup>th</sup> and 12<sup>th</sup> July 2010.</i></p>	<p>Completed.</p>
<p><b>Webinar 5 Action 5:</b> <i>Forum members to advise Michael Fink and Desmond Manful at IHA as soon as possible about whether they will be attending the HydroVision conference</i></p>	<p>Assumed completed. Any discussion of this action at Forum Webinar 6 provided for under Agenda Item 9 “Next Steps”.</p>

FORUM MEETING 9 (3-6 May 2010) ACTIONS	STATUS as of Webinar 6
<p><b>Mtg 9 Action 1:</b> <i>The Forum Coordinator to review Table 3 in light of other Meeting 9 agreements, concerns about consistency with the topic statements, minor edits identified by Forum members, and the need for clarification about its role in assigning scores, and to represent this table in the HSAP Draft2 Final for further consideration.</i></p>	<p>Completed. Provided in the HSAP Draft2 Final.</p>
<p><b>Mtg 9 Action 2:</b> <i>The Forum Coordinator to review the wording for the Level 1 and 2 statements in the Introduction, topic scoring statements, and Table 3 in light of Mtg9 Agreement 5.</i></p>	<p>Completed. Provided in the HSAP Draft2 Final.</p>

<p><b>Mtg 9 Action 3:</b> <i>The Forum Coordinator to ensure a definition of the word “appropriate” is in the Glossary of Terms.</i></p>	<p>Completed. Provided in the HSAP Draft2 Final.</p>
<p><b>Mtg 9 Action 4:</b> <i>The Forum Coordinator to consider how Assessment Guidance notes are presented on the topic page, and whether additional wording is necessary to make it clear that this is guidance, and that where examples are provided these are examples only to improve understanding and are not prescriptive lists in which all components must be met.</i></p>	<p>Completed. Provided in the HSAP Draft2 Final.</p>
<p><b>Mtg 9 Action 5:</b> <i>The Forum Coordinator to apply the same approach agreed for Section II to the order of topics to Sections III and IV, recognizing that these sections may require some slight adjustments for which the rationale should be made apparent.</i></p>	<p>Completed. Provided in the HSAP Draft2 Final.</p>
<p><b>Mtg 9 Action 6:</b> <i>The Forum Coordinator will add reference to public disclosure for topic II-2 Demonstrated Need &amp; Strategic Fit at the Level 5 scoring statement; divergent views will be noted.</i></p>	<p>Completed. Provided in the HSAP Draft2 Final.</p>
<p><b>Mtg 9 Action 7:</b> <i>The Forum Coordinator will move Level 5 Management criterion elements in topic II-5 Infrastructure Safety to Level 3: “safety management plans and processes have been developed in conjunction with relevant regulatory authorities...” and “plans include emergency response plans and processes supported by awareness and training programs and emergency response practice exercises”; divergent views will be noted.</i></p>	<p>Completed. Provided in the HSAP Draft2 Final.</p>
<p><b>Mtg 9 Action 8:</b> <i>The Forum Coordinator will add reference to public disclosure of the economic viability analysis for topic II-8 Economic Viability at the Level 5 scoring statement; divergent views will be noted.</i></p>	<p>Completed. Provided in the HSAP Draft2 Final.</p>
<p><b>Mtg 9 Action 9:</b> <i>Joerg Hartmann and Donal O’Leary to propose wording for topic II-9 Governance that would specify under what conditions and for which topics independent review should be expected for the project.</i></p>	<p>Completed. Provided in the HSAP Draft2 Final.</p>
<p><b>Mtg 9 Action 10:</b> <i>The Forum Coordinator will ensure there are definitions for “independent review” and “expertise” in the Glossary of Terms.</i></p>	<p>Completed. Provided in the HSAP Draft2 Final.</p>
<p><b>Mtg 9 Action 11:</b> <i>The Forum Coordinator to ensure a clear definition of “need” is provided in the assessment guidance to topic II-2 Demonstrated Need &amp; Strategic Fit.</i></p>	<p>Completed. Provided in the HSAP Draft2 Final.</p>
<p><b>Mtg 9 Action 12:</b> <i>Refaat Abdel-Malek and Richard Taylor to provide examples of indicators of safety performance in the assessment guidance to topic II-5 Infrastructure Safety.</i></p>	<p>Completed. Provided in the IHA Reference Group proposed edits to the HSAP Draft2 Final.</p>
<p><b>Mtg 9 Action 13:</b> <i>Joerg Hartmann to provide explanation of “rate of return” in the context of an economic viability assessment in the assessment guidance to topic II-8 Economic Viability.</i></p>	<p>Completed. Provided in the HSAP Draft2 Final.</p>

<p><b>Mtg 9 Action 14:</b> Richard Taylor to test views on the proposed revisions to topic II-10 Transboundary Rivers.</p>	<p>Completed.</p>
<p><b>Mtg 9 Action 15:</b> The Forum Coordinator to provide web links to some Social Impact Assessments (SIAs) that have taken or incorporated a human rights analytical approach, to assist in forming a view on whether the Level 5 scoring statement in topic II-13 Environmental &amp; Social Issues Assessment &amp; Management should require a human rights approach to the SIA.</p>	<p>Completed.</p>
<p><b>Mtg 9 Action 16:</b> The Forum Coordinator to provide the text in the HSAP Draft2 Final Introduction that explains how human rights are addressed in the Protocol.</p>	<p>Completed. Provided in the HSAP Draft2 Final.</p>
<p><b>Mtg 9 Action 17:</b> The Forum Coordinator to ensure that topic II-13 Environmental &amp; Social Impacts Assessment &amp; Management refers to planning for ISO 14001 certification, whereas the following two sections assess whether this certification has been achieved.</p>	<p>Completed. Provided in the HSAP Draft2 Final.</p>
<p><b>Mtg 9 Action 18:</b> The Forum Coordinator to retain the present text under the Stakeholder Support criterion in topic II-14 Project Affected Communities”; divergent views will be noted.</p>	<p>Completed. Provided in the HSAP Draft2 Final.</p>
<p><b>Mtg 9 Action 19:</b> The Forum Coordinator to revise the text under the Management criterion and Assessment Guidance in topic II-15 Indigenous Peoples from reference to “indigenous peoples issues” to “issues that may affect indigenous peoples”; divergent views will be noted.</p>	<p>Completed. Provided in the HSAP Draft2 Final.</p>
<p><b>Mtg 9 Action 20:</b> The Forum Coordinator to revise the Level 3 statement for the Stakeholder Support criterion in topic II-15 Indigenous Peoples to read “There is general support amongst directly affected indigenous groups for the assessment and planning on issues that may affect them, and/or no major or ongoing opposition by these stakeholders”; divergent views will be noted.</p>	<p>Completed. Provided in the HSAP Draft2 Final.</p>
<p><b>Mtg 9 Action 21:</b> The Forum Coordinator to revise the Level 5 statement for the Stakeholder Support criterion in topic II-15 Indigenous Peoples to read “Consent has been sought and gained by directly affected indigenous groups for the management plans on issues that may affect them”; divergent views will be noted.</p>	<p>Completed. Provided in the HSAP Draft2 Final.</p>
<p><b>Mtg 9 Action 22:</b> The Forum Coordinator to provide a definition of Resettlement Action Plan in the assessment guidance notes for topic II-16 Resettlement, advising that this is a document or set of documents specifically developed to identify the actions that will be taken to address resettlement.</p>	<p>Completed. Provided in the HSAP Draft2 Final.</p>

<p><b>Mtg 9 Action 23:</b> <i>The Forum Coordinator to ensure that the divergent view on the Level 3 statement for the Stakeholder Support criterion in topic II-16 Resettlement is recorded.</i></p>	<p>Completed. Provided in the HSAP Draft2 Final.</p>
<p><b>Mtg 9 Action 24:</b> <i>The Forum Coordinator to ensure that the divergent view on the Level 5 statement for the Stakeholder Support criterion in topic II-16 Resettlement is recorded.</i></p>	<p>Completed. Provided in the HSAP Draft2 Final.</p>
<p><b>Mtg 9 Action 25:</b> <i>The Forum Coordinator to provide more clarity in the Assessment Guidance for topic II-17 Labour &amp; Working Conditions and the equivalent topics in Sections III and IV on evidence that should be presented to demonstrate consistency with internationally recognised labour rights.</i></p>	<p>Completed. Provided in the HSAP Draft2 Final.</p>
<p><b>Mtg 9 Action 26:</b> <i>The Forum Coordinator to ensure that land rehabilitation is specifically required to be addressed under topic II-13 Environmental &amp; Social Impact Assessment &amp; Management, and that considerations at the catchment level are captured at the 5 Level in many topics.</i></p>	<p>Completed. Provided in the HSAP Draft2 Final.</p>
<p><b>Mtg 9 Action 27:</b> <i>The Forum Coordinator to search for references to “baseline” and “pre-project condition” in Section IV, to ensure that the understanding established in Mtg9 Agreements 33 and 34 is very clear.</i></p>	<p>Completed. Provided in the HSAP Draft2 Final.</p>
<p><b>Mtg 9 Action 28:</b> <i>The Forum Coordinator to review the order of topics in Section IV, to see if it would assist understanding to group those topics which only have relevance if there is a well-documented pre-project baseline – Project Benefits, Project Affected Communities, Resettlement.</i></p>	<p>Completed. Provided in the HSAP Draft2 Final.</p>
<p><b>Mtg 9 Action 29:</b> <i>The Forum Coordinator to rename the topics presently titled Reservoir Management so that in Section II this is Reservoir Planning; in Section III this is Reservoir Preparation and Filling; and in Section IV this is Reservoir Management.</i></p>	<p>Completed. Provided in the HSAP Draft2 Final.</p>
<p><b>Mtg 9 Action 30:</b> <i>The Forum Coordinator to base the HSAP Draft2 Final Section I on the final edits undertaken within Forum Meeting 9.</i></p>	<p>Completed. Provided in the HSAP Draft2 Final.</p>
<p><b>Mtg 9 Action 31:</b> <i>The Forum Coordinator to liaise with the IHA Central Office staff on how Mtg9 Agreement 41 can be best progressed.</i></p> <p><i>Forum Coordinator Note: This action contains a typo, and should have read Mtg9 Agreement 46. Mtg9 Agreement 46 was “It is agreed that an HSAP Knowledge Base will be created to house the information on Protocol topics and high profile/cross-cutting issues that has been brought forward during the Forum process”</i></p>	<p>In train. To be discussed in Forum Webinar 6 under Agenda Item 8 “Forum Knowledge Base”.</p>
<p><b>Mtg 9 Action 32:</b> <i>The Forum Coordinator to schedule Webinar 5 for the second week of June 2010 and Webinar 6 for the second week of July 2010.</i></p>	<p>Completed.</p>

<p><b>Mtg 9 Action 33:</b> <i>Forum members to indicate to Richard Taylor their interest in being involved in a follow up phase, and to provide any comments on Mtg9 Paper 14 by no later than the 4<sup>th</sup> of June 2010.</i></p>	<p>To be discussed in Forum Webinar 6 under Agenda Item 9 “Next Steps”.</p>
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<p><b>FORUM WEBINAR 4 (31<sup>st</sup> March 2010) ACTIONS</b></p>	<p><b>STATUS as of Webinar 6</b></p>
<p><b>Webinar 4 Action 2:</b> <i>David Harrison to liaise with Refaat Abdel-Malek and Richard Taylor on the strategy to address concerns with the Transboundary Issues topic. Any other Forum members who can assist in this area should get in touch with these individuals.</i></p>	<p>Completed. Superseded by Action 14 at Forum Meeting 9.</p>

<p><b>FORUM WEBINAR 3 (2<sup>nd</sup> March 2010) ACTIONS</b></p>	<p><b>STATUS as of Webinar 6</b></p>
<p><b>Webinar 3 Action 1:</b> <i>The Forum Coordinator to see whether there is some potential to find consensus on a definition of a score of 5 that clarifies what best practice is with respect to, and to bring a proposal back to the Forum.</i></p>	<p>Completed. Text proposed in the Draft1 Final HSAP included in the Meeting 9 papers has not been changed based on discussions at this meeting or further webinars and drafts.</p>
<p><b>Webinar 3 Action 2:</b> <i>The Forum Coordinator to draft responses to the issues raised in the HSAF Phase 2 Consultation Outcomes Report, and circulate for Forum member review.</i></p>	<p>In train. Several progressive drafts of Forum responses to Consultation Phase 2 issues have been circulated to Forum members, but need to be concluded upon finalisation of Protocol content. Will clarify process and timing for this at Forum Webinar 6, under Agenda Item 11 “Consolidation of Further Actions”.</p>
<p><b>Webinar 3 Action 4:</b> <i>The Forum Coordinator to develop a proposal for presentation of results for discussion at Webinar 4.</i></p>	<p>Completed. A proposal for presentation of results was included in the HSAP Draft1 Final Introduction at Forum Meeting 9, with some minor modifications proposed to this in the IHA Reference Group comments on the HSAP Draft2 Final.</p>

FORUM MEETING 8 (2-5 February 2010) ACTIONS	STATUS as of Webinar 6
<p><b>Mtg 8 Action 2:</b> <i>The Forum Coordinator to ensure opportunities in the forward work plan for Forum members to further discuss the relationship between Protocol requirements and national requirements.</i></p>	<p>Completed. Discussed at Forum Webinar 3 and Forum Meeting 9, resulting in agreed text for the Protocol Introduction specifically addressing how the relationship between Protocol requirements and national requirements should be managed.</p>
<p><b>Mtg 8 Action 5:</b> <i>The Forum Coordinator to ensure that a review of the ordering of aspects will be undertaken.</i></p>	<p>Completed.</p>
<p><b>Mtg 8 Action 6:</b> <i>The Forum Coordinator to ensure opportunities in the forward work plan for Forum members to discuss guidance notes.</i></p>	<p>Completed.</p>
<p><b>Mtg 8 Action 7:</b> <i>The Forum Coordinator to ensure opportunities in the forward work plan for Forum members to discuss cross-cutting issues.</i></p>	<p>Completed. Discussed at Webinar 3, Webinar 4 and Forum Meeting 9. Post-Forum Meeting 9, discussion of these issues has become inter-related with the Forum Knowledge Base.</p>
<p><b>Mtg 8 Action 8:</b> <i>The Forum Coordinator to ensure opportunities in the forward work plan for Forum members to further discuss scoring of non-relevant topics.</i></p>	<p>Completed. Discussed at Webinar 3 and Forum Meeting 9, with a resolution reached at Forum Meeting 9 which was incorporated into the HSAP Draft2 Final.</p>
<p><b>Mtg 8 Action 9:</b> <i>The Forum Coordinator to ensure the opportunity in the forward work plan for Forum members to resolve the definition of proven best practice.</i></p>	<p>Completed. Discussed at Webinar 3. Text proposed in the Draft1 Final HSAP included in the Meeting 9 papers has not been changed based on discussions at this meeting or further webinars and drafts.</p>

<p><b>Mtg 8 Action 10:</b> <i>The Forum Coordinator to ensure the opportunity in the forward work plan for Forum members to further discuss presentation of results.</i></p>	<p>Completed. A proposal for presentation of results was included in the HSAP Draft1 Final Introduction at Forum Meeting 9, with some minor modifications proposed to this in the IHA Reference Group comments on the HSAP Draft2 Final.</p>
<p><b>Mtg 8 Action 13:</b> <i>The Forum Coordinator to ensure the opportunity in the forward work plan for Forum members to discuss Section III.</i></p>	<p>Completed. Section III was discussed at Forum Meeting 9, with guidelines provided in the minutes on the drafting of the HSAP Draft2 Final Implementation Assessment Tool.</p>
<p><b>Mtg 8 Action 14:</b> <i>The Forum Coordinator to ensure the opportunity in the forward work plan for Forum members to discuss Section IV.</i></p>	<p>Completed. Section IV was discussed at Forum Meeting 9, with guidelines provided in the minutes on the drafting of the HSAP Draft2 Final Operation Assessment Tool.</p>
<p><b>Mtg 8 Action 16:</b> <i>The Forum Coordinator to ensure the opportunity in the forward work plan for Forum members to discuss the remaining and unresolved issues raised in the consultation and trialling, and agreement on Forum responses.</i></p>	<p><i>In train. Same action as Webinar 3 Action 2; see response above.</i></p>
<p><b>Mtg 8 Action 18:</b> <i>The Forum Coordinator to ensure collection of estimates of in-kind contributions to consultation and trialling.</i></p>	<p>In train. Report on status of this action to be provided by IHA at Forum Webinar 6, under Agenda Item 11 “Consolidation of Further Actions”.</p>
<p><b>Mtg 8 Action 21:</b> <i>The Forum Coordinator to ensure the opportunity in the forward work plan for Forum members to discuss follow up to Forum Meeting 9.</i></p>	<p>To be discussed in Forum Webinar 6 under Agenda Item 9 “Next Steps”.</p>