Outcomes

Preparation Stage:

Plans avoid, minimise, mitigate and compensate negative biodiversity impacts arising from project activities.

Implementation Stage and Operation Stage:

Negative biodiversity impacts arising from project activities are avoided, minimised, mitigated, and compensated..

Preparation Stage:

Plans avoid, minimise, mitigate and compensate negative biodiversity impacts due to project activities; and plans provide for enhancements to pre-project biodiversity conditions or contribute to addressing biodiversity issues beyond those impacts caused by the project.

Implementation Stage:

Negative biodiversity impacts arising from project implementation are avoided, minimised, mitigated and compensated; and enhancements to preproject biodiversity conditions or contribution to addressing biodiversity issues beyond those impacts caused by the project are achieved or are on track to be achieved.

Operation Stage:

There are healthy, functional and viable aquatic and terrestrial ecosystems in the area affected by the hydropower facility that are sustained over the long-term; or the facility has contributed or is on track to contribute to addressing biodiversity issues beyond those impacts caused by the operating hydropower facility.

4.7 Indigenous peoples

Principle: The project respects the dignity, human rights, aspirations, culture, lands, knowledge, practices and natural resource-based livelihoods of Indigenous Peoples in an ongoing manner throughout the project life.

Scope: The rights at risk and opportunities of Indigenous Peoples with respect to the project, recognising that as social groups with identities distinct from dominant groups in national societies, they are often the most marginalised and vulnerable segments of the population.

Requirements:

Criteria	Minimum requirements (good practice)	Advanced requirements (best practice)
Assessment	Preparation Stage:	Preparation Stage and Implementation Stage:
	An assessment of the representation of Indigenous Peoples in the project-affected community has been undertaken, including identification of their rights at risk in relation to the project, utilising local knowledge and expertise.	The assessment takes broad considerations into account, including wider opportunities for Indigenous Peoples.
		Implementation Stage:
	Implementation Stage: Issues that may affect Indigenous Peoples' rights in relation to the project have been identified through an assessment process utilising local knowledge and expertise; and monitoring of project impacts and effectiveness of management measures is being undertaken during project implementation appropriate to the identified rights at risk.	Monitoring during project implementation takes into account inter- relationships amongst issues, and both risks and opportunities that become evident during implementation.
		Operation Stage:
		Identification of issues that may affect Indigenous Peoples' rights is undertaken with the free, prior and informed participation of Indigenous Peoples; and takes into account both risks and opportunities.

Operation Stage:

Ongoing or emerging issues relating to the operating hydropower facility that may affect Indigenous Peoples' rights have been identified, and if management measures are required then monitoring is being undertaken to assess if management measures are effective.

Management

Preparation Stage:

Plans and processes have been developed for project implementation and operation to address the Indigenous Peoples' rights at risk in relation to the project; and formal commitments are publicly disclosed.

Implementation Stage:

Measures are in place to address the Indigenous Peoples' rights at risk in relation to the project, and formal commitments are publicly disclosed.

Operation Stage:

Measures are in place to address the Indigenous Peoples' rights at risk relating to the operating hydropower facility; and formal agreements are publicly disclosed.

Preparation Stage and Implementation Stage:

Processes are in place to anticipate and respond to emerging risks and opportunities.

Operation Stage:

Measures to address ongoing or emerging issues that may affect Indigenous Peoples' rights at risk have been developed with the free, prior and informed participation of Indigenous Peoples; and processes are in place to anticipate and respond to emerging risks and opportunities.

Stakeholder Engagement

Preparation Stage:

Good-faith consultation with Indigenous Peoples' institutions of representation and decision-making, as determined by them, has been carried out through a process that was appropriately timed, culturally appropriate and two-way; ongoing processes are in place for Indigenous Peoples to raise issues and get feedback; and a mutually-agreed disputes procedure is in place.

Implementation Stage:

Appropriately-timed, culturally appropriate and two-way channels of communication are maintained; ongoing processes are in place for Indigenous Peoples to raise issues and get feedback; and a mutually-agreed disputes procedure is in place.

Operation Stage:

Appropriately-timed, culturally appropriate and two-way channels of communication are maintained; ongoing processes are in place for Indigenous Peoples to raise issues and get feedback; and a mutually-agreed disputes procedure is in place.

Preparation Stage and Implementation Stage:

Feedback on how issues raised have been taken into consideration has been thorough and timely.

Operation Stage:

Feedback on how issues raised have been taken into consideration has been thorough and timely; and directly affected Indigenous Peoples have been involved in decision-making around relevant issues and options.

Stakeholder Support

Preparation Stage:

Free, Prior and Informed Consent has been achieved with respect to the Indigenous Peoples' rights at risk following the principle of proportionality.

Implementation Stage:

Free, Prior and Informed Consent has been achieved with respect to the Indigenous Peoples' rights at risk following the principle of proportionality..

Preparation Stage and Implementation Stage:

Free, Prior and Informed Consent of directly affected indigenous groups has been achieved for the entire project.

Conformance/ Compliance

Implementation Stage and Operation Stage:

Processes and objectives relating to Indigenous Peoples' rights at risk have been and are on track to be met with no major non-compliances or nonconformances, and any Indigenous Peoples related commitments have been or are on track to be met.

Implementation Stage and Operation Stage:

There are no non-compliances or non-conformances.

Outcomes

Preparation Stage:

Plans provide for negative impacts of the project to Indigenous Peoples' rights to be avoided, minimised, mitigated or compensated with no significant gaps, and some practicable opportunities for positive impacts to be achieved.

Implementation Stage:

Plans provide for negative impacts of the project on Indigenous Peoples' rights to be avoided, minimised, mitigated or compensated with no significant gaps, and some practicable opportunities for positive impacts to be achieved.

Operation Stage:

Processes provide for negative impacts of the project on Indigenous Peoples' rights to be avoided, minimised, mitigated or compensated with no significant gaps, and some practicable opportunities for positive impacts to be achieved

Preparation Stage and Implementation Stage:

Opportunities for positive impacts have been thoroughly identified and maximised as far as practicable.

Operation Stage:

Opportunities for positive impacts have been identified and maximised as far as practicable, and have been or are on track to be achieved.